

Invention Disclosure Statement Transmittal

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.

| | | | | | |
|------------------|----------|------------------------------------|------------------|---|-------------------------|
| Date of Deposit: | 11/30/00 | Name of Person Making the Deposit: | DESIREE CALDWELL | Signature of the Person Making the Deposit: | <i>Desiree Caldwell</i> |
|------------------|----------|------------------------------------|------------------|---|-------------------------|

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Teroyoshi Komuro, Yoshimoto Osawa, Group Art Unit:
Hisato Shima and Tomoyuki Asano

Filed: 09/18/00

Examiner:

Serial No.: 09/664,998

Title: METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION
MODE INDICATOR

The Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Information Disclosure Statement Transmittal

Transmitted herewith is the following:

- Formal drawings, totaling sheets.
- Informal drawings, totaling sheets.
- Certification for PTO Consideration
- ☒ Information Disclosure statement (2 sheets)
- Information Disclosure statement and late filing fee
- ☒ Form 1449
- Petition for Extension of Time
- Other:

Fee Calculation (for other than a small entity)

| Fee Items | Fee Rate | Total |
|---|----------|---------------|
| Petition for Extension of Time (fee calculated elsewhere) | \$.00 | \$0.00 |
| Information Disclosure Statement, late filing | \$240.00 | \$0.00 |
| Other: | | \$0.00 |
| Total Fees | | \$0.00 |

PAYMENT OF FEES

- The full fee due in connection with this communication is provided as follows:
- [X] The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.
A duplicate copy of this authorization is enclosed.
- [] A check in the amount of \$
- [] Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:



WAGNER, MURABITO & HAO LLP
Two North Market Street, Third Floor
San Jose, California 95113
(408) 938-9060

Respectfully submitted,

Date: 11/30/2000

By: 

Anthony C. Murabito
Reg. No. 35,295

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: SONY-50L220

Inventor(s): Teruyoshi Komuro, Yoshitomo Osawa, Hisato Shima and Tomoyuki Asano

Serial No.: ~~09/664,998~~ 09/664,992

Group Art Unit: 1742

Filed: 09/18/00

Examiner: May

Title: METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR

The Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)

The citations referenced herein, copies attached, may be material to the examination of the above-identified application and are, therefore, submitted in compliance with the duty of disclosure as defined in 37 C.F.R. 1.56. The Examiner is requested to make these citations of official record in the application.

This Information Disclosure Statement submitted in accordance with 37 C.F.R. 1.97(b) is not to be construed as a representation that a search has been made, that additional items material to the examination of this application do not exist, or that any one or more of these citations constitute prior art under 35 U.S.C. 102.

The Examiner's attention is respectfully directed to the following U.S. Patents:

| <u>Patent No.</u> | <u>Pat. Title</u> | <u>Grant Date</u> |
|-------------------|---|-------------------|
| 5,937,066 | TWO-PHASE CRYPTOGRAPHIC KEY RECOVERY SYSTEM | 08/10/99 |
| 5,898,784 | TRASFERRING ENCRYPTED PACKETS OVER APUBLIC NETWORK | 04/27/99 |
| 5,828,653 | QUALITY OF SERVICE PRIORITY SUBCLASSES | 10/27/98 |
| 5,845,283 | METHOD AND APPARATUS FOR RATIONALIZING DIFFERENT DATA FORMATS IN A DATA MANAGEMENT SYSTEM | 12/01/98 |
| 5,825,891 | KEY MANAGEMENT FOR NETOWRK COMMUNICATION | 10/20/98 |
| 5,634,012 | SYSTEM FOR CONTROLLING THE DISTRIBUTION AND USE OF DIGITAL WORKS HAVING A FEE REPORTING MECHANISM | 05/27/97 |
| 5,457,746 | SYSTEM AND METHOD FOR ACCESS CONTROL FOR PORTABLE DATA STORAGE MEDIA | 10/10/95 |
| 4,598,288 | APPARATUS FOR CONTROLLING THE RECEPTION OF TRANSMITTED PROGRAMS | 07/01/86 |
| 5,070,528 | GENERIC ENCRYPTION TECHNIQUE FOR COMMUNICATION NETWORKS | 12/03/91 |

The Examiner's attention is respectfully directed to the following International Patents:

| <u>Patent No.</u> | <u>Pat. Title</u> | <u>Grant Date</u> |
|-------------------|--|-------------------|
| 0 618 723 A1 | COPY CONTROL FOR A VIDEO SIGNAL | 10/05/94 |
| WO 97/21279 | MULTI-MEDIA COPY MANAGEMENT SYSTEM | 06/12/97 |
| 0 618 723 A1 | COPY CONTROL FOR A VIDEO SIGNAL | 10/05/94 |
| EP 0 691 787 A1 | RECEIVING CONTROLLED-ACCESS BROADCAST SIGNALS | 01/10/96 |
| EP 0 763 936 A2 | ILLEGAL VIEW/COPY PROTECTION METHODAND APPARATUS FOR DIGITAL BROADCASTING SYSTEM | 03/19/97 |
| EP 0 766 466 A2 | TELEVISION BROADCAST COPY PROTECTION | 04/02/97 |
| EP 0 878 794 A2 | RESTRICTION OF REPRODUCTION OR COPYING OF A RECORDED INFORMATION SIGNAL | 11/18/98 |

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Please direct all correspondence concerning the above-identified application to the following address:

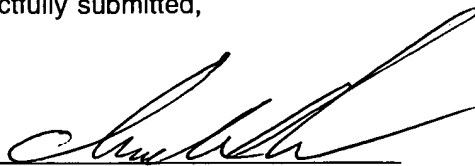
WAGNER, MURABITO & HAO LLP
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